



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Serial No. 10/612,434

Applicants: **Alan Wu et al**

Group Art Unit: **3743**

Filing Date: **July 2, 2003**

Title: **BAFFLED SURFACE COOLED HEAT EXCHANGER**

Attorney Docket No.: **60680-705**

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

In connection with the U.S. patent application identified above, applicant wishes to draw to the examiner's attention the following art, but no representation is made that a comprehensive search has been made or that no better art exists.

A copy of each item is attached hereto. It is requested that each of these items be considered, made of record in the prosecution history of the application, and appear among the references cited on any patent to issue from the application.

Applicant believes that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97 (b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant petitions for consideration of this Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97 (c)(2) and 37 C.F.R. § 1.17 (p) from Deposit Account No. 13-2400. The Assistant Commissioner is further authorized to deduct any additional fees required in connection with this Information Disclosure Statement from Deposit Account No. 13-2400 and to credit any overpayment to Deposit Account No. 13-2400..

EXECUTED at Toronto, Ontario, Canada, this 14<sup>th</sup> day of October 2003.

Respectfully submitted,

By:

David J. Greer  
Registration No. 43,395

Encl.

Copies of references and Form PTO-1449

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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE		Attorney Docket No. 60680-705		Serial No. 10/612,434		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)				Applicants: Wu <i>et al</i>		
				Filing Date July 2, 2003	Group Art Unit: 3743	
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date (MM/DD/YY)	Name	Class	Subclass	Filing Date if Appropriate (MM/DD/YY)
	1,318,875	10/14/1919	Hutchinson			
	1,996,622	04/02/1935	Lambert			
	2,547,668	04/03/1951	Simpelaar			
	3,147,800	09/08/1964	Tadewald			
	3,800,868	04/02/1974	Berkowitz			
	5,232,066	08/03/1993	Schnelker			
	5,327,957	07/12/1994	Killebrew			
	6,109,217	08/29/2000	Hedlund <i>et al</i>			

## FOREIGN PATENT DOCUMENTS

						Translation	
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER		DATE CONSIDERED	
* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

Applicant(s): Wu, et al.

Docket No.

60680-705

Serial No.

10/612,434

Filing Date

07/02/2003

Examiner

UNKNOWN

Group Art Unit

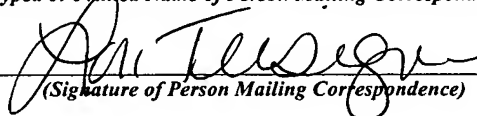
3743

Invention:

**BAFFLED SURFACE COOLED HEAT EXCHANGER**I hereby certify that this **Information Disclosure Statement, PTO 1449, 8 references, postcard***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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on **October 27, 2003***(Date)***Lori Tersigni***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**